

1 **Gait retraining lowers injury risk in novice distance runners: a randomized**
2 **controlled trial**

3 Zoe Y.S. Chan,[†] BEng, Janet H.W. Zhang,[†] MBBS, Ivan P.H. Au,[†] MPT, Winko W. An,[‡]
4 MEng, Gary L.K. Shum,[§] PT PhD, Gabriel Y.F. Ng,[†] PT PhD, Roy T.H. Cheung,^{†*} PT PhD

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7 [†]Department of Rehabilitation Sciences, The Hong Kong Polytechnic University, Hong
8 Kong

9 [‡]Department of Biomedical Engineering, Boston University, Boston, USA

10 [§]Faculty of Sport & Health Sciences, University of St Mark & St John, Plymouth, UK

11 *Address correspondence to Dr. Roy T.H. Cheung, Gait & Motion Analysis Laboratory,
12 ST004, G/F, Block S, The Hong Kong Polytechnic University, Hung Hom, Hong Kong
13 (email: Roy.Cheung@polyu.edu.hk)

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17

18 Abstract

19 Background: With distance running gaining popularity, there is a concurrent increase in
20 running related injuries that up to 85% of novice runners incur an injury in a given year.

21 Previous studies have utilized gait retraining program to successfully lower impact loading,
22 which has been associated with many running ailments. However, softer footfalls may not
23 necessarily prevent running injury.

24 Purpose: To examine the vertical loading rates before and after the gait retraining as well
25 as the effectiveness of the program on reducing the occurrence of running-related injury
26 across a 12-month observation period.

27 Study Design: Randomized controlled clinical trial

28 Methods: A total of 320 novice runners from the local running club completed this study.
29 All the participants underwent a baseline running biomechanics evaluation on an
30 instrumented treadmill with their usual running shoes at 8 and 12 km/h. Participants were
31 then randomly assigned into either the gait retraining or control group. In the gait retraining
32 group (n=166), participants received a two-week real time visual feedback gait retraining.
33 In the control group (n=154), participants received treadmill running exercise but without
34 visual feedback on their performance. The training time was identical between the two
35 groups. Participants' running mechanics were reassessed after the training and their 12-
36 month post-training injury profile was tracked using an online surveillance platform.

37 Results: There was a significant reduction in the vertical loading rates at both testing
38 speeds in the gait retraining group ($p < 0.001$, Cohen's $d > 0.99$) whereas the loading rates
39 were either similar or slightly increased in the control group after training ($p = 0.001$ to
40 0.461 , Cohen's $d = 0.03$ to -0.14). At 12-month follow-up, the occurrence of running-related
41 musculoskeletal injury was 16% and 38% in the gait retraining and control group
42 respectively. Hazard ratio between gait retraining and control groups was 0.38

43 (95%C.I.=0.25-0.59), indicating a 62% lower injury risk in gait retrained runners when
44 compared with controls.

45 Conclusion: A two-week gait retraining program is effective in lowering impact loading in
46 novice runners. More importantly, the injury occurrence is 62% lower after two weeks of
47 running gait modification.

48 Clinical Relevance: A two-week gait retraining program may lower impact loading, thus
49 reducing the injury occurrence in novice runners.

50 Keywords: Running; Kinetics; Biofeedback; Injury prevention

51 What is known about the subject: Running injury has been associated with high level of
52 vertical loading rates in previous case-control and longitudinal studies. Gait retraining has
53 been shown to successfully reduce impact loading.

54 What this study adds to existing knowledge: The present study provides prospective data
55 to support the use of gait retraining to prevent running injury in novice distance runners.

56

57 INTRODUCTION

58 Running is a popular sport globally. The rapid growth of running population can be
59 partially reflected by the number of participants in many distance running events
60 worldwide. In 2015, there were 17.1 million finishers participated in over 30,000 races
61 held in the United States.² Such population bloom can be explained by the positive impact
62 on the cardiovascular and mental health in runners.⁴³ However, due to its repetitive nature,
63 running-related musculoskeletal injuries are common, with 37-79% of runners sustaining
64 an injury in a given year.^{6,16} This translates to three out of four regular runners will incur
65 an injury within three years. Compared with elite runners, novice runners are more
66 vulnerable,¹³ partially because they are less physically prepared for distance running.⁹ In
67 view of this situation, studies on the efficacies of physical training programs to prevent
68 running-related injury have been undertaken, but their effectiveness was in doubt.^{8,9,24,34}
69 The findings of previous studies clearly indicated that a physically conditioned runner
70 under a structured training protocol may still be at risk, if the biomechanical risk factor is
71 not addressed.

72 There have been studies on the relationship between biomechanics and running-
73 related injury. Amongst different biomechanical risk factors, such as the magnitude of
74 ground reaction force peaks,⁴⁴ a high level of vertical loading rates, which can be
75 expressed as vertical average and instantaneous loading rate (VALR and VILR), have
76 been reported to associate with many injury conditions in runners, such as patellofemoral
77 pain,^{12,16} tibial stress fractures,^{5,32} and plantar fasciitis.³² Greater VALR or VILR
78 experienced by the body is caused by an increased vertical body stiffness during
79 landing.^{21,23} It has been suggested that an increased vertical stiffness is associated with

80 injury because a greater force acts on the body over a smaller joint excursion, which
81 causes poor shock attenuation. There are many running techniques, such as Chi running
82 and Pose running, which target to modify running gait for a softer landing.^{17,37} However,
83 the evidence of running gait modification using these methods is mainly anecdotal.

84 Previous studies have utilized a gait retraining program of eight sessions in two
85 weeks using real time visual feedback to control impact loading.^{25,33} In this training
86 protocol, participants ran on a treadmill and the training time in each session was
87 gradually increased from 15 to 30 minutes over the eight sessions, while the real time
88 visual feedback was progressively removed in the last four sessions. Participants
89 presented a reduction of 18-20% impact loading after the training and this reduction was
90 maintained at the 1-month follow-up in a feedback-free state.²⁸ Other biofeedback gait
91 retraining programs using the same training and feedback weaning protocol have been
92 applied to other cohorts and they were shown to be effective for a favorable running gait
93 pattern transition.¹⁵ Despite of the fact that the running biomechanics between treadmill
94 and overground were not exactly identical, translation of the training effect from treadmill-
95 based training to overground running has been observed in previous gait retraining
96 studies.³⁸ One plausible explanation was the comparable neuromuscular control³¹ and
97 kinetics³⁶ between the two conditions, favoring the translation of the training effect to the
98 alternative running environments.

99 However, a favorable running biomechanics may not equate to injury-free running.
100 Hitherto, no published studies have examined the effect of a gait retraining program on
101 injury prevention in novice runners. Therefore, this randomized controlled trial sought to
102 evaluate the effectiveness of a gait retraining program on modulation of impact loading

103 and whether it can prevent running-related injury in a group of novice runners. We
104 hypothesized that participants receiving gait retraining would present lower VALR and
105 VILR during running. On the contrary, the vertical loading rates would remain similar in
106 the control group. It is also hypothesized that gait retraining would lower the occurrence
107 of running-related injury, when compared with the controls.

108

109 METHODS

110 Study design and participants

111 This laboratory-based study was a single-blinded randomized controlled trial. The
112 experimental procedure was reviewed and approved by the administrating institutional
113 review board and the trial was registered at a local clinical trial registry. A total of 412
114 novice (< 2-year running experience) runners who regularly run > 8 km/week and aged
115 18-50 years were recruited in this study. Participants were free from any active injury for
116 at least six months prior to the study. In order to avoid floor effect, all the participants
117 underwent an initial running screening and those with VALR < 70 BW/s during usual
118 speed running were excluded.

119

120 Baseline measurements

121 All participants who met the study criteria and provided written consent underwent
122 a baseline running biomechanics assessment. They were asked to run on an
123 instrumented treadmill (AMTI force sensing tandem treadmill, Watertown, MA, USA) at 8

124 km/h (slow pace) and 12 km/h (fast pace) for five minutes with their usual running shoes.
125 The test sequence was randomized using an online program (www.random.org) and
126 there was a 5-minute rest period between the two running trials.

127 Ground reaction force data was sampled at 1,000 Hz for the last minute of the run.
128 Data were then filtered using a second order, recursive Butterworth, lowpass filter at 50
129 Hz. A threshold of 10 N in the vertical ground reaction force was used to determine foot
130 strike and toe off. The VALR and VILR were obtained by the method described in a
131 previous study.¹⁴ In brief, VALR and VILR were the average and maximum slopes of the
132 line through the 20% point and the 80% point of the vertical impact peak, respectively. In
133 the case with an undetectable or absence of vertical impact peak within one stance phase,
134 the vertical impact peak value would be taken as the force at 13% stance phase.⁶ Both
135 VALR and VILR were normalized by body weight (BW) and averaged across all footfalls
136 within the one-minute trial.

137

138 Sample Size

139 The required sample size was calculated for the primary outcome variable, the annual
140 occurrence of running-related musculoskeletal injury. According to previous studies, the
141 occurrence varied between 37 and 79% in a given year.^{6,16} A reduction of 25% on the
142 occurrence in the gait retraining group compared to the control group was considered
143 clinically significant and relevant.⁸ A logistic rank surviving power analysis was performed
144 with a hypothesized 25% reduction of the annual occurrence, an attrition rate of 5%, a

145 power of 80% and an alpha level of 5%, a total of 380 runners (190 in each group) were
146 needed to detect an effect of the 2-week gait retraining program.

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148 Randomization

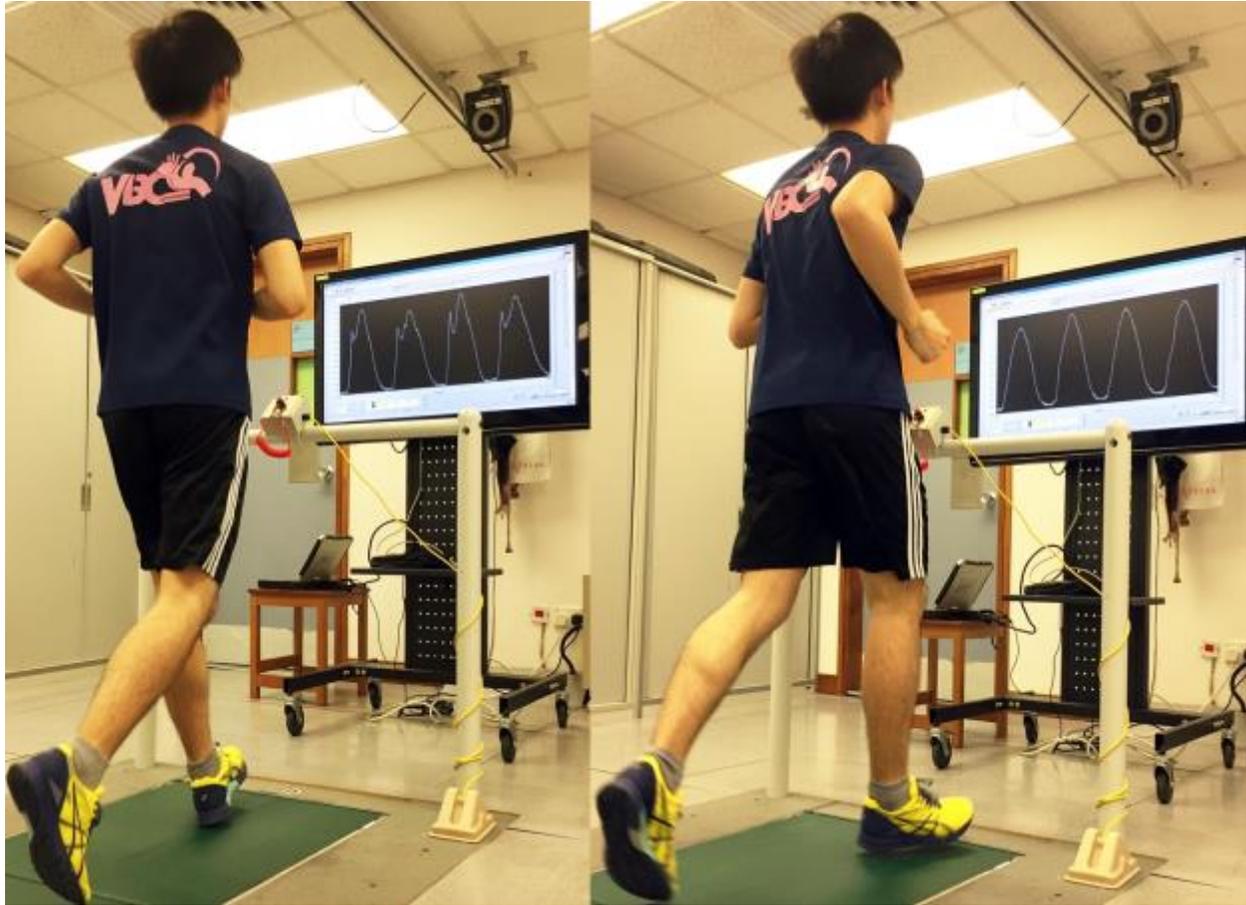
149 After the baseline measurement, all participants were assigned to either the gait
150 retraining group or control group. In order to ensure the participants between two groups
151 are matched, a stratified randomization was performed. Participants were stratified for
152 current running mileage (8-12 km/week; 12-16 km/week; >16 km/week) and gender. A
153 block size of four was used in the randomization sequence. For each stratum, participants
154 were allocated by drawing a sealed opaque envelope.

155

156 Gait retraining group

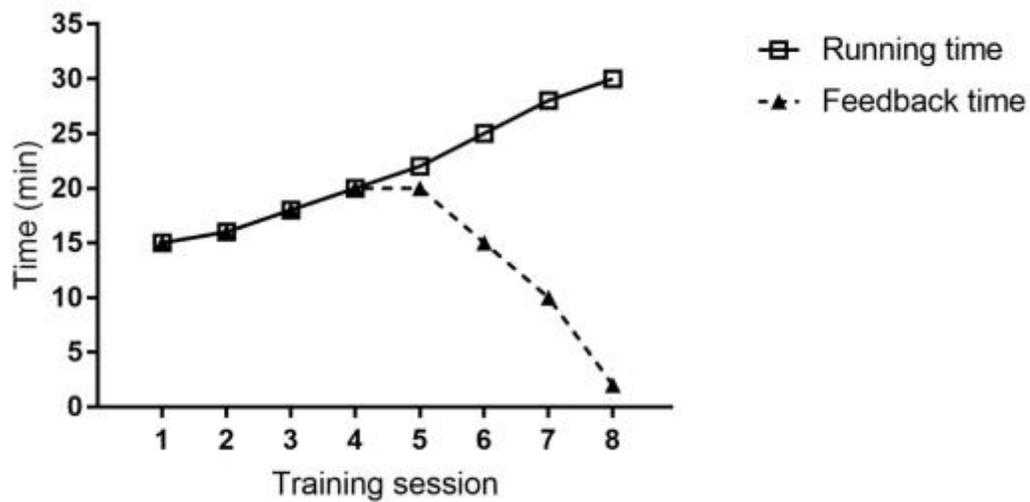
157 Participants in the gait retraining group received a 2-week gait retraining for landing
158 stiffness modulation according to the protocol established in a previous study.¹² In brief,
159 they participated in eight sessions of gait modification over two weeks (four sessions per
160 week). During the training, participants were asked to run at a self-selected speed on an
161 instrumented treadmill (AMTI force sensing tandem treadmill, Watertown, MA, USA).
162 Visual biofeedback in the form of vertical ground reaction force signal from the treadmill
163 was displayed on the monitor in front. Participants were asked to “run softer” so that the
164 amplitude of vertical impact peak would be reduced or even diminished (**Figure 1**). The
165 training time was gradually increased from 15 minutes to 30 minutes over the eight
166 sessions and visual feedback was progressively removed in the last four sessions (**Figure**

167 2). The participants were then advised to maintain their new gait pattern during their daily
168 living or regular running practice after the training.



169
170 Figure 1. Runners receiving visual biofeedback during gait retraining and they were asked
171 to reduce the vertical impact peak by softening the footfalls

172



173

174 Figure 2. Training time and biofeedback time arrangement in the gait retraining group

175

176 Control group

177 Similar to the gait retraining group, participants in the control group were invited to
 178 the laboratory for eight times in two weeks. They were asked to run on an instrumented
 179 treadmill at a self-pace speed but no feedback of their running biomechanics was
 180 provided. The running time was identical to the protocol in the gait retraining group.

181

182 Reassessment

183 All participants were reassessed two weeks after the first evaluation. The testing
 184 procedure was identical to the baseline assessment.

185

186 Tracking of injury occurrence

187 After the training program was completed, all participants were asked to log into
188 an online running injury surveillance platform, which was designed based on a previous
189 study.³ At the first login, they were required to report their injury history and average
190 weekly mileage over the past six months. At each of the 12 subsequent logins at each
191 month, they were asked to report their weekly mileage, other training program involved,
192 and injuries (if any) over the past month. They were required to specify the person who
193 made the diagnosis for the injuries. An injury was operationally defined as any running
194 related musculoskeletal complaint,⁴² which was diagnosed by a medical professional,
195 such as a physician, physical therapist or orthopedic surgeon, and that the condition
196 would render them to miss at least two days of training. In order to ensure validity of the
197 injury data, those who had reported an injury were contacted by a researcher to
198 authenticate the injury incident.

199

200 Statistics

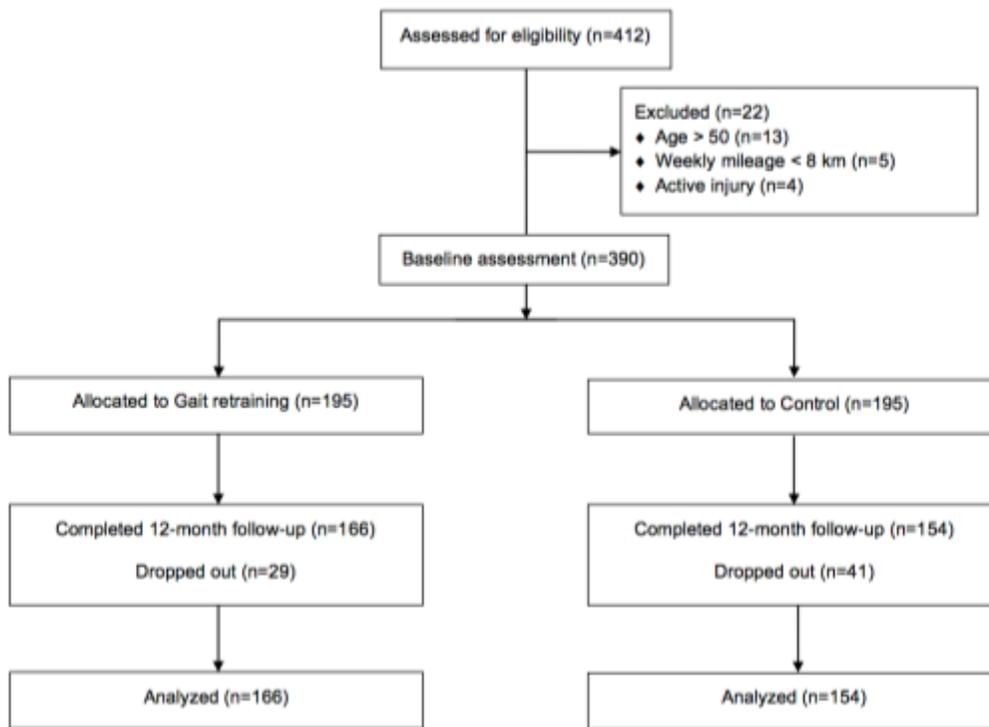
201 Baseline characteristics of participants in the gait retraining and control group were
202 compared using two-tailed t tests and Chi-square statistics for continuous and discrete
203 variables, respectively. A 2x2 mixed design ANOVA was used to compare the interaction
204 effect of training (gait retraining vs. control) and time (before and after training) on VALR
205 and VILR. Pairwise comparisons were conducted if necessary. In addition, in order to
206 avoid overreliance on statistical tests,³⁰ the effect size, in terms of Cohen's d, were used
207 to quantify the strength of comparisons. Cohen's d around 0.2, 0.5 and 0.8 are considered
208 as 'small', 'medium' and 'large' effect sizes respectively.⁴¹ Since this current study was
209 not designed to investigate the effects of gait retraining on any particular injury type, the

210 injury pattern in the two study groups were compared descriptively. Mantel-Cox test was
211 used to compared the survival curves of the participants with an injury in the gait retraining
212 group and the control group. A Cox proportional hazards regression was conducted to
213 assess the difference in the occurrence of injury development during the 12-month follow-
214 up period after training. All analyses were performed following the “intention to treat”
215 principle. All statistical tests were performed by SPSS software (Version 23; SPSS Inc.,
216 Chicago, IL, USA), with level of significance set as 0.05.

217

218 RESULTS

219 412 participants volunteered in this study, with 22 of them were excluded due to
220 the preset criteria (**Figure 3**). After stratified randomization, 195 runners were allocated
221 to the gait retraining group and another 195 runners were assigned to the control group.
222 Finally, 320 out of remaining 390 participants completed all follow-up assessments and
223 70 had dropped out at different stages due to scheduling conflicts or personal reasons.
224 No between-group differences in any demographic or baseline outcomes were found
225 ($p>0.094$, **Table 1**).



226

227 Figure 3. Consort diagram

228

229 Table 1. Baseline characteristics of participants in the gait retraining and control group

Characteristics	Gait retraining (n=166)	Control (n=154)	<i>P</i>
Gender	82 males 84 females	76 males 78 females	0.993
Age (years)	33.6 ± 9.5	34.2 ± 9.5	0.559
Weight (kg)	60.0 ± 12.6	61.6 ± 12.0	0.235
Height (m)	1.66 ± 0.09	1.65 ± 0.09	0.843
Running experience (months)	16.8 ± 5.2	16.6 ± 5.0	0.720

Weekly mileage (km)	19.5 ± 7.0	18.5 ± 6.1	0.172
VALR at 8 km/h (BW/s)	65.95 ± 9.90	67.81 ± 9.97	0.094
VALR at 12 km/h (BW/s)	81.28 ± 13.59	83.51 ± 11.41	0.115
VILR at 8 km/h (BW/s)	90.69 ± 13.90	92.32 ± 10.81	0.245
VILR at 12 km/h (BW/s)	111.87 ± 14.51	114.32 ± 16.42	0.160

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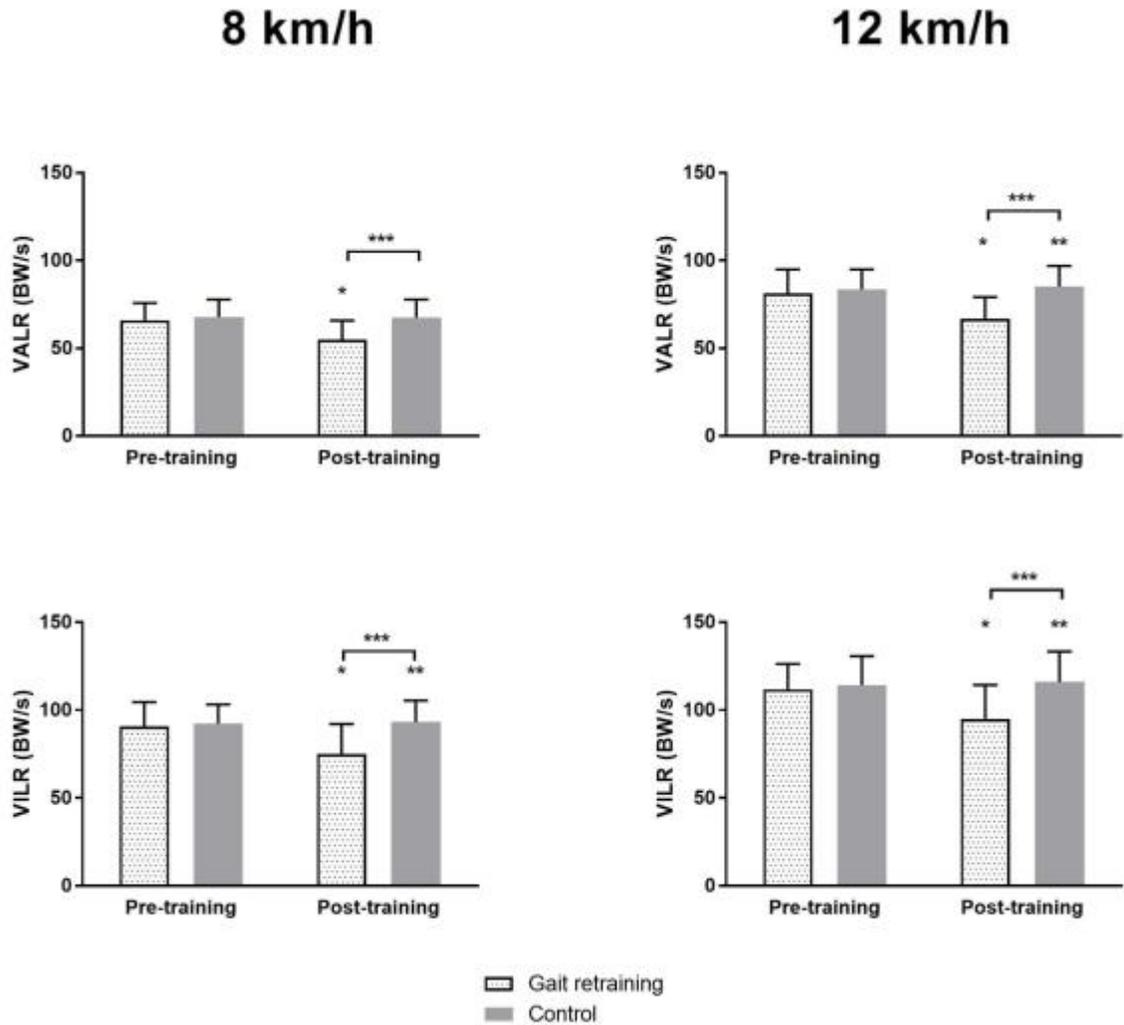
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Participants in both groups reported no adverse effects. 2x2 mixed design ANOVA revealed a significant interaction effects between training and time for both VALR ($p < 0.001$, $\eta^2_p = 0.344-0.367$) and VILR ($p < 0.001$, $\eta^2_p = 0.353-0.541$) at both testing speeds. Pairwise comparisons reported a significant reduction in VALR ($p < 0.001$, Cohen's $d = 1.06-1.12$) and VILR ($p < 0.001$, Cohen's $d = 0.99-1.01$) after gait modification (**Figure 4**). In the control group, there was no significant difference in the VALR at 8 km/h after the training ($p = 0.461$) but the VALR at 12 km/h and VILR at both testing speeds were increased ($p < 0.029$, Cohen's $d = -0.09$ to -0.14 , **Figure 4**). For between-group comparisons, the VALR and VILR in the gait retraining group were significantly lower than that in the control group at both testing speeds after training ($p < 0.001$, Cohen's $d = 1.16-1.52$).



241

242 Figure 4. Vertical average and instantaneous (VALR and VILR) at 8 km/h and 12 km/h
 243 before and after training

244

245 At 12-month follow-up, 16% and 38% runners reported running-related
 246 musculoskeletal injury in the gait retraining group and control group respectively. The
 247 types of injuries reported between gait retraining and control groups was different (**Table**
 248 **2**). We observed more Achilles tendinitis (18%) and calf strain (18%) in gait retraining
 249 group participants, while no such injuries were observed in the control group. On the

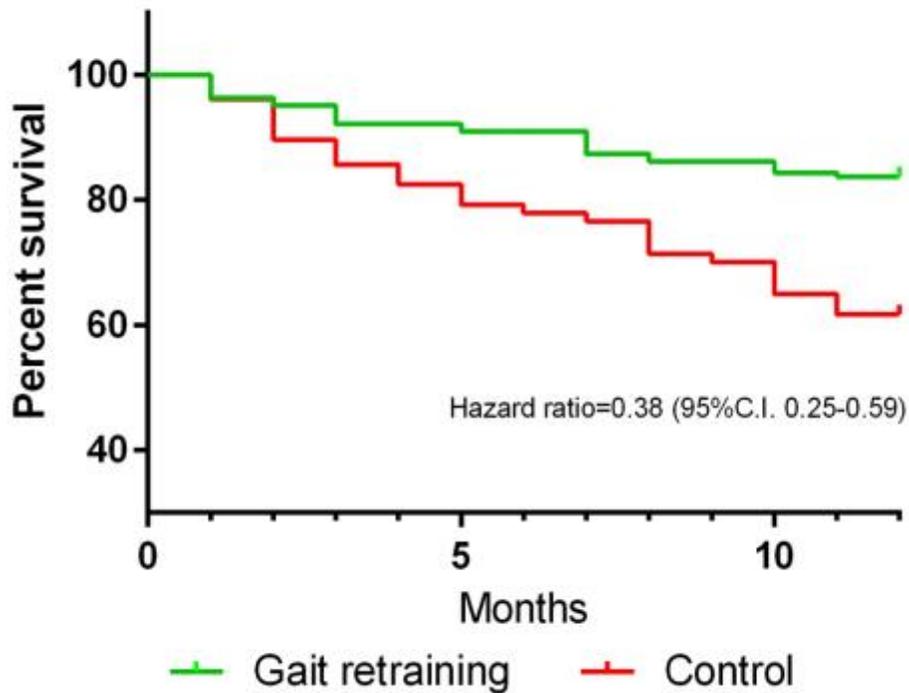
250 contrary, the most common injury in the control group was plantar fasciitis (38%) and
 251 patellofemoral pain (29%), while only 7% and 14% of participants in the gait retraining
 252 group had these conditions. Mantel-Cox test indicated a significant difference in the
 253 survival curves between the two groups (**Figure 5**). Hazard ratio between gait retraining
 254 and control groups was 0.38 (95%C.I.=0.25-0.59), indicating a 62% lower injury
 255 occurrence in gait retrained runners, when compared with controls.

256

257 Table 2. Absolute number of running related injuries in gait retraining and control group

Condition	Gait retraining	Control
Patellofemoral pain	4 (14%)	18 (29%)
Plantar fasciitis	2 (7%)	23 (38%)
Iliotibial band syndrome	3 (11%)	8 (13%)
Hamstrings strain	3 (11%)	8 (13%)
Achilles tendinitis	5 (18%)	0 (0%)
Calf strain	5 (18%)	0 (0%)
Shin splints	3 (11%)	1 (2%)
Patellar tendinitis	2 (7%)	0 (0%)
Meniscal injury	1 (3%)	3 (5%)

258 Number in parentheses represent percentage of injury



259

260 Figure 5. A Kaplan-Meier plot of running-related injury survival between participants from
 261 the gait retraining group and the control group

262

263 DISCUSSION

264 This single-blinded randomized controlled trial sought to evaluate the effectiveness
 265 of a laboratory-based gait retraining program on the impact loading control and running-
 266 related musculoskeletal injury prevention in novice runners. In accordance to our original
 267 hypotheses, gait retraining is a safe and effective intervention to lower VALR and VILR
 268 during running. More crucially, the laboratory-based gait retraining program significantly

269 reduces the running-related musculoskeletal injury occurrence by 62% during a 12-month
270 follow-up period.

271 Previous gait retraining studies reported a large reduction of VALR (Cohen's d up
272 to 3.32) and VILR (Cohen's d up to 3.74),²⁷ which is greater than the present study
273 (Cohen's d=0.99-1.12). Such discrepancy can be explained by the instruction and
274 feedback provided to participants. Most of the previous studies used an explicit and visible
275 biomechanical parameter as a marker for the biofeedback training, such as footstrike
276 pattern,^{12,40} stride frequency,²⁰ or lower limb alignment.²⁸ These modifications could be
277 observed and measured without the use of sophisticated lab equipment, runners could
278 attempt or practice outside the training sessions, possibly enhancing the effect of the
279 retraining. This speculation is supported by the fact that another study using an implicit
280 parameter, i.e. tibial shock, reported a smaller reduction of VALR and VILR (Cohen's
281 d=1.3-1.7) after gait retraining.¹⁴ Even so, studies relating attentional focus and motor
282 learning suggested that feedback which promotes external focus was more effective than
283 internal focus on both the learning outcome and retention.^{45,46} In the present study,
284 participants were provided with real time externally focused feedback, i.e. vertical ground
285 reaction force, without instructions on the detailed movements required to achieve a
286 reduced impact peak. This arrangement was considered to be optimal for gait retraining
287 and favor retention during the follow-up period.

288 The present study, unlike previous studies where the assessment and training
289 speeds were set by researchers, our participants completed the gait retraining at their
290 own training pace. Together with the use of their own usual running shoes, the training
291 was performed in a condition which best imitates their natural training conditions. This

292 design was to minimize the effect of speed and footwear change on loading rates,^{11,26}
293 and ensure sustainability of the modified gait in participants when they return to their
294 regular trainings.

295 Lower VALR or VILR after gait retraining is achieved by a reduction in the vertical
296 body stiffness during impact.^{21,23} The relationship between stiffness and running injury is
297 well established in animal models but not in human. A rate dependent relationship
298 between loading and bone injury has been demonstrated in rabbits,^{35,39} dogs,¹⁰ and
299 bovine.⁴ It has been suggested that increased strain rate is typically associated with
300 greater risk of bony injuries in animals. In human studies, higher VALR and VILR have
301 been reported in a group of injured athletes with patellofemoral pain¹² and plantar
302 fasciitis,³² than their healthy counterparts. Such observations were in line with the injury
303 pattern in our control group participants. On the contrary, there were more incidence of
304 calf injury, i.e. calf strain and Achilles tendinitis, in the gait retraining group than the control
305 group. This pattern can be explained by a greater strain on the ankle plantar flexors when
306 the participants attempted to soften the footfalls by a footstrike pattern switch,²⁹ which
307 has been shown to be effective in lowering vertical loading rates.²²

308 The findings of this study supported to use of visual biofeedback in reducing the
309 impact loading and being an effective way in injury prevention, these could have a direct
310 impact on reducing the health care costs. A recent study reported that the economic
311 burden of a single running-related injury is approximately US\$90.¹⁹ Given the fact that
312 over 54 million people currently engage in running, be it for recreational or competitive
313 reason,¹ and up to 79% of runners incur an injury in a given year,^{7,18} the total cost of
314 running related injury is estimated at US\$4 billion annually. Further study could

315 investigate the cost effectiveness and economic impact of the visual biofeedback gait
316 retraining program.

317 Several limitations should be considered in light of the findings presented in this
318 study. First, the current gait retraining program can only be delivered in a biomechanics
319 laboratory, which is not commonly accessible to most runners. Since impact loading is an
320 invisible biomechanical marker, future research should explore the potential for wearable
321 sensor technology to allow for VALR and VILR measurement in an outdoor environment.
322 Second, we did not measure running mechanics outside the laboratory environment thus
323 sustainability of the modified gait biomechanics in the actual environments remains
324 unexamined. Third, similar to a previous study,³ we used an online platform to monitor
325 injury pattern of the participants for 12 months. Although we contacted every participant
326 who had reported an injury to maximize data validity, we did not clarify with uninjured
327 participants and therefore the injury occurrence may be underestimated in both groups.
328 Finally, the exclusion of experienced runners may have affected the generalizability of
329 our findings.

330

331 CONCLUSION

332 A two-week gait retraining program using visual biofeedback is effective in lowering
333 impact loading in novice runners. More importantly, the running-related musculoskeletal
334 injury occurrence is 62% lower after two weeks of gait modification over a 12-month
335 follow-up period.

336

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